# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

### **SCHEDULE 13D**

Under the Securities Exchange Act of 1934 (Amendment No. 3)\*

## LAVA Therapeutics N.V.

(Name of Issuer)

Common Shares, par value \$0.14 per share (Title of Class of Securities)

N51517 105 (CUSIP Number)

Barbara Fiorini Due Novo Holdings A/S Tuborg Havnevej 19 Hellerup, Denmark DK-2900 +45 3527 6592

Copy to:

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(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

February 22, 2023 (Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acq	quisition which is subject of this Schedule 13D, and is filing
this statement because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box. $\Box$	

*Note:* Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 (the "Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

#### CUSIP No.: N51517 105

. 1						
1.	Name of Reporting Person:					
	Novo Holdings A/S					
2.			ppropriate Box if a Member of Group (See Instructions):			
	(a) □		b)			
3.	SEC U	se On	ly:			
4.	Source	of Fu	nds:			
	MC					
5.	Chock	if Dic	closure of Legal Proceedings is Required Pursuant to <u>Items 2(d)</u> or <u>2(e):</u>			
٥.	CHECK	11 D13	crosure of Legal 1 roccedings is required 1 distant to <u>items 2(d)</u> of <u>2(e).</u>			
6.	Citizer	ship o	or Place of Organization:			
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		7.	Sole Voting Power:			
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Shares		8.	Shared Voting Power:			
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	ned By Each		0			
	orting	9.	Sole Dispositive Power:			
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With:		10.	3,070,558 Shared Dispositive Power:			
		10.	Shared Dispositive Fower.			
			0			
11.	Aggreg	gate A	mount Beneficially Owned by Each Reporting Person:			
10	3,070,		A CONTRACTOR OF THE CONTRACTOR			
12.	Check	if the	Aggregate Amount in Row (11) Excludes Certain Shares:			
13.	_	t of C	lass Represented By Amount In Row (11):			
	11.7% (1)					
14.	Type of Reporting Person:					
13.	11.7% (1)					

(1) Based upon 26,289,087 shares of the Issuer's Common Shares outstanding as of September 30, 2022, as reported in the Issuer's Form 6-K filed with the Securities and Exchange Commission (the "SEC") on November 16, 2022.

This amendment ("<u>Amendment No. 3</u>") amends the Schedule 13D originally filed with the SEC on March 31, 2022, (the "<u>Original Schedule</u>"), as subsequently amended by Amendment No. 1 filed September 30, 2022 and Amendment No. 2 filed October 3, 2022 (collectively with the Original Schedule, the "<u>Schedule</u>"), to report and reflect a reduction in the beneficial ownership of the Issuer's common shares in connection with sales. All capitalized terms contained herein but not otherwise defined shall have the meanings ascribed to such terms in the Schedule.

#### Item 2. Identity and Background

Item 2 is amended and replaced in its entirety as follows:

- (a) Novo Holdings A/S, a Danish corporation, is an investment firm focused on life sciences and finance that is wholly owned by Novo Nordisk Foundation (the "Foundation"), a Danish commercial foundation. Novo Holdings A/S is the holding company in the group of Novo companies (currently comprised of Novo Nordisk A/S and Novozymes A/S) and is responsible for managing the Foundation's assets, including its financial assets. Based on the governance structure of Novo Holdings A/S and the Foundation, the Foundation is not deemed to have any beneficial ownership of the securities of the Issuer held by Novo Holdings A/S.
  - The name of each director and executive officer of both Novo Holdings A/S and the Foundation is set forth on the updated Schedule I to this Amendment No. 3.
- (b) The business address of both Novo Holdings A/S and the Foundation is Tuborg Havnevej 19, 2900 Hellerup, Denmark.

  The residence or business address of each director and executive officer of both Novo Holdings A/S and the Foundation is set forth on the updated Schedule I to this Schedule 13D.
- (c) Novo Holdings A/S, a holding company that is responsible for managing the Foundation's assets, provides seed and venture capital to development stage companies and invests in well-established companies within the life science and biotechnology sector.
  - The Foundation is a Danish self-governing and profit-making foundation, whose objectives are to provide a stable basis for commercial and research activities undertaken by the group of Novo companies and to support scientific, humanitarian and social purposes through grants.
- (d) Within the last five years, neither Novo Holdings A/S, the Foundation, nor any person named in the updated Schedule I has been convicted in any criminal proceedings.
- (e) Within the last five years, neither Novo Holdings A/S, the Foundation, nor any person named in the updated Schedule I was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

#### Item 3. Source and Amount of Funds or Other Consideration

Item 3 amends and supplements Item 3 in the Schedule to reflect sales of the Issuer's shares during the past 60 days, as follows:

On February 16, 2023, Novo Holdings A/S sold 83,074 shares at \$3.0491 per share in the open market.

On February 17, 2023, Novo Holdings A/S sold 67,079 shares at \$2.4694 per share in the open market.

On February 21, 2023, Novo Holdings A/S sold 37,161 shares at \$2.3550 per share in the open market.

On February 22, 2023, Novo Holdings A/S sold 69,440 shares at \$2.1838 per share in the open market.

#### Item 5. Interest in Securities of the Issuer

Item 5 is amended and replaced in its entirety as follows:

- (a) Novo Holdings A/S beneficially owns 3,070,558 shares of Common Shares (the "Novo Shares") representing approximately 11.7% of the Issuer's outstanding shares of Common Shares, based upon 26,289,087 shares of the Issuer's Common Shares outstanding as of September 30, 2022, as reported in the Issuer's Form 6-K filed with the SEC on November 16, 2022.
- (b) Novo Holdings A/S is a Danish corporation wholly owned by the Novo Nordisk Foundation. Novo Holdings A/S has the sole power to vote and dispose of the Novo Shares. Neither the Foundation nor any person listed on the updated Schedule I has the power to direct the vote as to, or the disposition of the Novo Shares.
- (c) Except as described in Item 3, Novo Holdings A/S has not effected any transactions in the Issuer's Common Shares within the past 60 days and neither the Foundation nor any person listed on the updated Schedule I has effected any transactions in the Issuer's Common Shares within the past 60 days.
- (d) Novo Holdings A/S does not know of any other person having the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the Novo Shares.
- (e) Not applicable.

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 24, 2023

Novo Holdings A/S

/s/ Barbara Fiorini Due

By: Barbara Fiorini Due

ts: General Counsel, Finance & Operations

#### Schedule I

Information regarding each director and executive officer of both Novo Holdings A/S and the Novo Nordisk Foundation is set forth below.

Novo Holdings A/S Name, Title **Principal Occupation** Address Citizenship Lars Rebien Sørensen, Christianholms Tværvej 27, 2930 Professional Board Director Denmark Chairman of the Board Klampenborg Denmark Steen Riisgaard, Hestetangsvej 155, Professional Board Director Denmark Vice Chairman of the Board 3520 Farum, Denmark Jean-Luc Butel, 235 Arcadia Road Global Healthcare Advisor, President, K8 Singapore Director unit # 03-04 Global Pte Ltd. 289843 Singapore Jeppe Christiansen, Classensgade 59, 5. th. Chief Executive Officer, Denmark Director 2100 Copenhagen Ø Fondsmaeglerselskabet Maj Invest A/S Denmark Francis Michael Cyprian Cuss, 111 Rippling Brook Way, Biopharmaceutical Consultant United Director Bernardsville, Kingdom NJ 07924 United USA States Britt Meelby Jensen Bukkeballevej 10 Professional Board Director Denmark 2960 Rungsted Kyst Director Denmark Viviane Monges, Chemin de Craivavers 32, 1012 Lausanne, Professional Board Director France Director Switzerland Emiliekildevej 36 Professional Board Director and Senior Henrik Poulsen, Denmark 2930 Klampenborg Advisor, A.P. Møller Holding A/S, Director Denmark Kasim Kutay, Bredgade 65, 3.tv. 1260 Copenhagen K. Chief Executive Officer of Novo Holdings United Chief Executive Officer of Novo Holdings A/S Denmark Kingdom Nigel Kevin Govett Kløvervang 10, Chief Financial Officer of Novo Holdings United 2970 Hørsholm, Chief Financial Officer of Novo Holdings A/S Kingdom A/S Denmark Novo Nordisk Foundation

Name, Title	Address	Principal Occupation	Citizenship
Lars Rebien Sørensen,	Christianholms Tværvej 27	Professional Board Director	Denmark
Chairman of the Board	2930 Klampenborg		
	Denmark		
Marianne Philip,	Annasvej 28	Attorney	Denmark
Vice Chairman of the Board	2900 Hellerup		
	Denmark		

Novo Nordisk Foundation							
Name, Title	Address	Principal Occupation	Citizenship				
Mads Krogsgaard Thomsen, Chief Executive Officer	Præstevejen 38 3230 Græsted Denmark	Chief Executive Officer, Novo Nordisk Foundation	Denmark				
Ole Jakob Müller, Director	Borgmester Jensens A 11, 4. 0003 2100 København Ø Denmark	Environmental Consultant	Denmark				
Lars Henrik Fugger, Director	72 Staunton Road, Headington OX3 7TP Oxford Great Britain	Professor, John Radcliffe Hospital, University of Oxford, Oxford, Great Britain	Denmark				
Lars Henrik Munch, Director	Galionsvej 46 1437 Copenhagen K Denmark	Professional Board Director	Denmark				
Liselotte Højgaard, Director	Grønningen 21 1270 Copenhagen K Denmark	Professor	Denmark				
Mads Boritz Grøn, Director	Horsevænget 4 3400 Hillerød Denmark	Senior Lead Auditor	Denmark				
Steen Riisgaard, Director	Hestetangsvej 155 3520 Farum Denmark	Professional Board Director	Denmark				
Stig Strøbaek, Director	Furesøgårdsvej 2 3520 Farum Denmark	Electrician	Denmark				